DECLARE/ALURES system: Non-technical project Summary "NTS" User manual - version 2021-07

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1. History of the document

Date	Version	Description of change
08.10.2020	2020-10	
22.01.2021	2021-01	 Updated chapter 5 'Registration of Member State administrators'
		 Updated chapter 12 'Information sharing between the members of the same organisation'
		• Updated chapter 17 'Approve NTS as a Competent Authority for Project Evaluation' with steps and screenshots for explicit assignment of competent authority added
		 New chapter 20 'Reassign NTS project to another competent authority'
		 New chapter 21 'Amend NTS project – create a new version of already published NTS'
		Other minor corrections and updates
18.02.2021	2021-02	 Added link to the public NTS excel file validation in the chapter 'Links to the system'
		• Added note that Internet Explorer is not supported in the chapter 'Links to the system'
		Added chapter 'Organisation types and user roles'
		 Added chapter 'Create another Competent authority as a CAPA member of existing Competent authority'
		 Added chapter 'Add a new member of your own Competent authority organisation'
		 Updated chapter 'Self-register as a Project applicant organisation
		 New chapter 'Register Project applicant organisation as a Competent Authority member'
		 New chapter 'Add a new member of a Project applicant organisation as a Project applicant administrator'
		• Differences between 'Project applicant' and 'Project applicant administrator' role described in the chapter 'Information sharing between the members of the same organisation'
		Added chapter 'Add comments'
		Added chapter 'Preview and print'
		Added chapter 'Email notifications'
		Other minor corrections and updates

13.07.2021	2021-07	• Updated chapter 'Create a web service account for machine- to-machine communication' with the Retrospective Assessment set-up info
		New chapter 'Retrospective Assessment (RA) overview'
		• New chapter 'Create an RA for the NTS project which does not exist in DECLARE'
		 New chapter 'Create an RA for the NTS project which is published in DECLARE'
		New chapter 'Publish Retrospective Assessment'
		 New chapter 'Amend RA – create a new version of already published RA'

2. Links to the system

The **real data** is submitted using a <u>system called DECLARE/ALURES</u> at: <u>https://webgate.ec.europa.eu/declare/</u>

The playground system for testing purposes is at: https://webgate.acceptance.ec.europa.eu/declare/

In order to submit data through the system, you must be <u>registered</u> in DECLARE/ALURES. In order to test the application, you must be registered in playground system.

Public NTS file validation, where project applicant can test NTS excel file before sending it to the competent authority, is available at: https://webgate.ec.europa.eu/envdataportal/web/resources/public/alures/nts/validate

NOTE: DECLARE does not work well in old Microsoft Internet Explorer browser. Please use other browser, for example, Chrome, Firefox or Edge.

3. Sign in or create EU Login

When you access the link of DECLARE system, you are re-directed to "EU Login" (*European Commission login system*) to sign in or to create a new account (if you don't have yet any)



4. One time registration in DECLARE

Every user has to register himself into DECLARE the first time when he access the system. This is one time action.

The information submitted in EU Login will be automatically used by the system for the purpose of registration in DECLARE.

Once logged into EU Login, you access DECLARE performing the following steps:

1. Select ALURES policy domain to enter into the system



2. Register your account into the system



3. Read the privacy statement and terms and condition of the usage of the system

European Commission ALURES				🍰 👻 🌐 EN 👻 🕞 Logout		
YOU ARE NOT REGISTERED IN THE SYSTEM Basic user information is retrieved from the EU Login, the common authentication application, and is therefore non-editable. It can be consulted and changed here.						
Member ID:	First name:	Last name:		Actions		
user255	User255	User255		Terms and conditions		
Email:	Phone:	Which notification emails do you want to receive?:*		I hereby certify that the statements		
user255@mock.ec.europa.eu		All		and information in this application		
				agree with the terms and conditions of		
				the system.		

5. Organisation types and user roles

DECLARE Alures NTS recognizes different types of organisations and respective user roles.

- 1. Member State type of organisation
 - a. Member State Administrator user role (MS Admin)
- 2. Competent Authority type of organisation (CA)
 - a. **Competent Authority for Project Evaluation** user role (CAPE)
 - b. Competent Authority for Project Authorisation user role (CAPA)
 - c. Competent Authority for Retrospective Assessment user role (CARA)
- 3. Project Applicant type of organisation (PA)
 - a. Project applicant user role
 - b. Project applicant Administrator user role

Each country has one *Member State* organisation with any number of members with **Member State Administrator** user role. Commission IT support staff create Member State organisation and its initial administrator. The purpose of this type of organisation and respective role is to allow Member State Administrator(s) to create Competent Authorities in their own country themselves. Member State Administrator have read-only access to all NTS projects in her/his country, which are not in draft status.

Each country can have unlimited number of competent authorities, but has to have at least one *Competent Authority* type of organisation. Each Competent Authority organisation can have any number of members with **CAPE** and/or **CAPA** and/or **CARA** role.

Member with CAPE role can approve (evaluate) the NTS project submitted to his/her organisation, whereas member with CAPA role can publish (authorise) the NTS project submitted to her/his organisation. Member with CARA role can create and publish Retrospective Assessment. The same physical person can be a member of Competent Authority with CAPE, CAPA and CARA role.

Project Applicant type of organisation is optional. Project applicant type of organisations and its members have to be registered in DECLARE only if a Member State decides to allow project applicants to submit NTS projects themselves into DECLARE.

Project applicant can register his/her organisation herself. Alternatively, any Competent Authority member with CAPE or CAPA role can register Project Applicant organisation and its member(s). Project applicant member with **Project applicant Administrator** user role will be able to add other members of his organisation, while the member with **Project applicant** user role will not. Member with **Project applicant Administrator** user role will be able to see and handle all NTS projects created by any other member of his/her organisation, whereas member with **Project applicant** user role will be only able to access NTS projects he/she created herself.

6. Registration of Member State administrators

European Commission registers 'Member State' organisation and its initial member as an "administrator". Member State administrator can then register Competent authority organisations in her/his country.

Member State administrator can also:

- a) Add additional administrators into his/her Member State organisation by clicking 'Add new member' on Member State organisation details screen.
- b) Remove existing administrators by clicking 'x' icon on the Member List

Home Subm	nissions Organisations								Member St Member State A
IEMBER S	STATE BELGIUM								
Organisation t	type:	Organisation name:			Location:		Gene	ral Contact Email:	Actions:
Member Sta	ite	Member State Belg	ium		Belgium				Erdit
Status:		Additional informatic	n:						Add new member
ACTIVE									Back
									10
Address line 1					Address line 2:				
Country:					City:			Postal code:	
MEMBER									
lambas ID	Pela		Charles	Email		Nama	Antiusted by	Activated on	Astinus
lember ID	noie		Status	cmail		Name	Activated by	Activated on	Actions
.er27	Member State Administrator		ACTIVE	user27@mock	.ec.europa.eu	User27 USER27	User1 USER1	2020-11-20 09:53:04.201	×

7. Registration of Competent authorities

Member State administrator can register any number of Competent Authority organisations. Any number of members can be added to each Competent Authority organisation. Each member can have 'Competent Authority for Project Evaluation' (CAPE), 'Competent Authority for Project Authorisation' (CAPA) or 'Competent Authority for Retrospective Assessment' (CARA) role. Where the same person is responsible for both - evaluation and authorisation, MS administrator will add the same user twice with different roles.

8. Register a Competent authority organisation as an MS admin

1. Click the menu item 'Register new organisation'



2. Choose 'Competent Authority' as organisation type, fill in organisation name, location and captcha text and click 'Register'

REGISTER NEW ORGANISATION			
Organisation type:*	Organisation name:*		Actions:
Competent Authority ~	Name of the CA		
Refresh			Register
Location: *	Additional information:		Cancel
Europe - Legium Legium-Wallonia			
Please use the tree with locations to fill the following selected location field.			
Selected:	General Contact Email:		
Address line 1:	Address line 2:		
Country:	City:	Postal code:	
Captcha: *			
87870. 2 Refresh captcha			

3. Activate the organisation

Home Submissions • Organisations •				Test NTS MS in Belgium Member State Administrator
Organisation registered				
NAME OF THE CA				
Organisation type:	Organisation name:	Location:	General Contact Email:	Actions:
Competent Authority	Name of the CA	Belgium		Edit
Status:	Additional information:			Activate
PENDING				Inactivate
				Add new member
Address line 1:		Address line 2:		Back

Note: in order to allow Competent Authority to access to the system, **at least one member with CAPE or CAPA or CARA role has to be added**. See chapter 'Add a member to the Competent authority as an MS admin'.

9. Add a member to the Competent authority as an MS admin



2. Click on the row with chosen organisation to see organisation details

3. Click 'Add new member'

European Commission ALURES				Logou
Home Submissions Organisations				Member State Administra
NAME OF THE CA				
Organisation type:	Organisation name:	Location:	General Contact Email:	Actions:
Competent Authority	Name of the CA	Belgium		Edit
Status:	Additional information:			Inactivate
ACTIVE				Add new member
				Back

4. Choose the role, fill in the email address and click 'Add new member'

ADD NEW MEMBER TO NAME OF THE CA

Select role:*	Email:*	First name:
Competent Authority for Project Evaluation	✓ john.doe@something.com	
Add new member		
Cancel		

Note: the email address in DECLARE must match user's EU login email address

10. Create another Competent authority (organisation) as a CAPA member of existing Competent authority

Any member of existing Competent Authority with CAPA role can create another Competent Authority (organisation) in the same location. This can be useful in cases where competent authority responsible for project authorisation is also responsible to register and maintain competent authorities for project evaluation (CAPE) in its country or region.

The process is analogous to the one described in the chapters 'Register a Competent authority organisation as an MS admin' and 'Add a member to the Competent authority as an MS admin'.

11. Add a new member of your own Competent authority organisation

Any existing member of a Competent Authority organisation can add new members of his/her own organisation.

Member with 'CAPE' role can add another member with 'CAPE' role. Member with 'CAPA' role can add another member with either 'CAPE' or 'CAPA' role.

The process is analogous to the one described in the chapter 'Add a member to the Competent authority as an MS admin'.

12. Create a web service account for machine-to-machine communication

A) Web service account for publishing NTS projects

Only the user with 'Competent Authority for Project Authorisation' (CAPA) role has permission to publish NTS. Once the human user with CAPA role is registered in DECLARE system, she can create her web service account to be used by national system to publish NTS into DECLARE.

1. Login into DECLARE as 'Competent Authority for Project Authorisation'. If you have more than one role, **make sure you set CAPA as your current role**.



2. Click 'My profile' menu item

	🌲 👻 🌐 EN	I 🝷 🕞 Logout	
Compete	My profile	ority Belgium Authorisation	
	ALURES		
	Change role		

3. Click 'Add new web service account'

MY DECLARE PROFILE Here you can view and edit your profile details.		
PERSONAL DATA		Actions:
First name:	Last name:	Edit
User1	User1	Add new web service account
Member ID:	Email:	

4. Enter a password to be used for web service account and click 'Save'

CREATE WEB SERVICE	ACCOUNT			
User name:*	Password:*	Start date:*	End date:*	 Actions:
WWW/WELCE				 Save
				Cancel

With automatically created user name and password you have provided, your national system can access DECLARE NTS web service in order to publish NTS.

B) Web service account for publishing Retrospective Assessment

Only the user with 'Competent Authority for Retrospective Assessment' (CARA) role has permission to publish a Retrospective Assessment. Once the human user with CARA role is registered in DECLARE system, she can create her web service account to be used by national system to publish RA into DECLARE.

1. Login into DECLARE as 'Competent Authority for Retrospective Assessment'. If you have more than one role, **make sure you set CARA as your current role**.



2. Click 'My profile' menu item

	🌲 👻 🌐 EN 👻	🕩 Logout
Compete	My profile ALURES Change role	ority Belgium Authorisation

3. Click 'Add new web service account'

MY DECLARE PROFILE Here you can view and edit your profile details.		
PERSONAL DATA		Actions:
First name:	Last name:	Edit
User1	User1	Add new web service account
Member ID:	Email:	

4. Enter a password to be used for web service account and click 'Save'

CREATE WEB SERVICE ACCOUNT				
User name:*	Password:*	Start date:*	End date:*	A - 41 - 11 - 11
V6R77WZLZE				Actions:
				Save

With automatically created user name and password you have provided, your national system can access DECLARE RA web service in order to publish an RA.

13. Self-register as a Project applicant organisation

Project applicants can **self**-register. In order to activate self-registered project applicant, the Competent authority has to approve the registration.

In a case that you are not yet registered in DECLARE, please first follow the steps as described in the chapter 'One time registration in DECLARE'.

Once you have successfully registered in DECLARE, you can register your organisation as a 'Project applicant' organisation - the entity on whose account the data will be provided. Organisation, university, company or laboratory is later called "Project applicant" (PA) in DECLARE.



There are 5 mandatory elements on the form. Others are optional.

1. Select the organisation type "Project applicant"

- 2. Enter the name
- 3. Select the location of the Competent authority that will approve the registration
- 4. Enter "captcha"
- 5. Click on "Register" button

REGISTER NEW ORGANISATION

Organisation type:*	Organisation name:*		Actions:
Project applicant ~	Name of the applicant's organisation		Register
Location: •	Additional information:		Cancel
Europe - - AA Test Associated Country 7 + & Austria - Belgium L Belgium Wallonia - Bulgaria			
Please use the tree with locations to fill the following selected location field. Selected:	General Contact Email:		
Address line 1:	Address line 2:		
Country:	City: Posta	il code:	
Castelant			
Capacita 2275 gr Refresh captcha 24275			

The user who is self-registering the 'Project applicant' organisation will be automatically added as a member with 'Project applicant administrator' role.

However, the registration form of the 'Project applicant' organisation will be in status "PENDING" and wait for validation by the relevant competent authority (a body designated for that purpose by the Member State).

European Commission ALURES				<u>2</u> 0 +	⊕ EN ▼	C+ Logout
Organisations *				Proj	Projec ect applicant	t applicant 1 Administrator
Organisation registered						
MY DECLARE PROFILE Here you can view and edit your profile details.						
PERSONAL DATA				Actions:		
First name:	Last name:				Edit	
User39	User39					
Member ID:	Email:					
user39	user39@mock.ec.eur	spa.eu				
Phone:	which notification ema	is do you want to receive::				
	All					
MY ROLES						
ALURES						
Id Organisation name Rol	e Organis	ation status Role status	Location			
4090 Project applicant 1 Pro	ect applicant Administrator PENDIN	G ACTIVE	Hungary			

After validation of the registration from by the Competent Authority, the user receives an email confirming that he/she has the access rights required to use DECLARE ALURES and can submit data.

You will receive an e-mail notification when registration form is approved. If not, please contact your Competent Authority.

14. Approve pending registration of Project applicant organisation

Any Competent Authority member with CAPE or CAPA role can list Project applicant organisations at its own location and approve (activate) the pending ones.

1. Click on the pending project applicant organisation on the 'List of organisations'

European Commission	ALURES				🌲 👻 🌐 EN 👻	🕒 Logout
Home Submissions -	Organisations 👻				Competent Authority for Proj	CA Hungary ect Evaluation
LIST OF ORGANIS	Register new organisation					
Show 10 ~ entries	Organisation list				Search:	
Clear Excel						
Id		11 Organisation name	11 All	✓ □ Hungary	✓ 11 All	v †1
4092		CA Hungary	Competent Authority	Hungary	Active	
4090		Project applicant 1	Project applicant	Hungary	Pending	

2. Click 'Activate'

	European Commission ALURES							20 -	🌐 en 👻	🕩 Logout
Home Submi	ssions 👻 Organisations 👻							Competent Aut	hority for Pr	CA Hungary oject Evaluation
PROJECT A	PPLICANT 1									
Organisation ty	ype:	Organisation name:		Location:		General Contact Email:		Actions:		
Project applie	cant	Project applicant 1		Hungary					Edit	
Status:		Additional information	on:						Activate	
PENDING									Inactivate	
							h	Ad	d new memb	ber
Address line 1:				åddress line 2:					Back	
Address line 1.				Address line 2.						
Country				City		Postal code:				
Country						i ostal conci				
Created by:		Created on:		Last updated by:		Last updated on:				
user39		2021-02-18 11:52:	37.869	user39		2021-02-18 11:52:37.869				
MEMBER	LIST									
Member ID	Role	Status	Email	Name	Activated by	Activated on	Actions			
user39	Project applicant Administrator	ACTIVE	user39@mock.ec.europa.eu	User39 USER39	User39 USER39	2021-02-18 11:52:37.878	×			

15. Register Project applicant organisation (by a Competent Authority)

Any Competent Authority member with CAPE or CAPA role can list Project applicant organisations at its own location and approve (activate) the pending ones.

In order to complete the process of registering a new Project applicant organisation and allow its members to access the system, newly created organisation **has to be activated** and **at least one Project applicant member has to be added**.

Register new project applicant organisation

- 1. Click on the menu item 'Organisations'->'Register new organisation'
- 2. Choose 'Project applicant' in Organisation type field, fill-in fields 'Organisation name', 'Location' and 'Captcha'.
- 3. Click 'Register' button.

European Commission ALURES		🍰 👻 🌐 EN 👻 🚱 Logout
Home Submissions • Organisations •		CA Hungary Competent Authority for Project Evaluation
REGISTER NEW OI		
Organisation ist	Organisation name.* Project applicant registered by CA Additional information:	Actions: Register Cancel
Please use the tree with locations to fill the following selected location field. Selected: Hungary	General Contact Email:	

Add an initial administrator of the Project applicant organisation

1. Click 'Add new member' on the organisation details screen

Home Submissions - Organisat	ions 🔻			CA Hu Competent Authority for Project Eva
Organisation registered				
PROJECT APPLICANT RE	GISTERED BY CA			
Organisation type:	Organisation name:	Location:	General Contact Email:	Actions:
Project applicant	Project applicant registered by CA	Hungary		Edit
Status:	Additional information:			Activate
PENDING				Inactivate
			A	Add new member
				Back
Address line 1:		Address line 2:		
Country:		City:	Postal code:	
Created by:	Created on:	Last updated by:	Last updated on:	
user1	2021-02-18 13:26:54.652	user1	2021-02-18 13:26:54.652	
MEMBER LIST				
Member ID Role	Status Email	Name Activated by	Activated on Actions	

2. Select 'Project applicant Administrator' role, enter the user's email and click 'Add new member'

European Commission ALURES			🌲 👻 🕀 EN 👻 🚱 Logout			
Home Submissions Organisations	CA Hungary Competent Authority for Project Evaluation					
ADD NEW MEMBER TO PROJECT APPLICANT REGISTERED BY CA						
Select role:*	Email:*	First name:	Last name:			
Project applicant Administrator	user1@mock.ec.europa.eu					
Add new member Cancel						

Note: the email address in DECLARE must match user's EU login email address

Activate Project applicant organisation

1. Click 'Activate' on the organisation details screen

PROJECT APPLICANT REGISTERED BY CA

Organisation t	type:	Organisation name:		Location:			General Conta	ct Email:	
Project appli	icant	Project applicant reg	istered by CA	Hungary					
Status:		Additional information	c						
PENDING									
									h
Address line 1				Address li	ne 2:				
Country:				City:				Postal code:	
Created by:		Created on:		Last upda	ted by:		Last updated o	n:	
user1		2021-02-18 13:26:54	.652	user1			2021-02-18	13:26:54.652	
MEMBER	LIST								
ember ID	Role	Status	Email		Name	Activated by	Activate	l on	Actions
er1	Project applicant Administrator	ACTIVE	user1@mock.ec.europa.	PU	User1 USER1	User1 USER1	2021-02-	18 13:59:05.309	×

16.Add a new member of a Project applicant organisation as a Project applicant administrator

Project applicant member with 'Project applicant Administrator' role can add another member of his/her organisation.

1. Click on the row with your organisation Organisation list

European Commission	ALURES				2 0 ▼ (#) EN ▼	🕞 Logout
Organisations 🔻					Project applicant o Project applicant	rganisation 1 Administrator
Register new organisation	ONS					
Organisation list					Search:	
Clear filters Excel						
Id		Organisation name	†⊥ All	✓ ↑↓ All	✓ 11 All	
4093		Project applicant organisation 1	Project applicant	Hungary	Pending	
Showing 1 to 1 of 1 entries					Previous	1 Next
		Current version: 5.31.2	02102171336 (b45325f)Version date: 2021-02-17 13:	40:15		

Current version, 3.51.202102111350 (545325) version date. 2021-02-1115.40

2. Click 'Add new member' on the organisation details screen

	European Commission	5									20 -	🏶 EN 🔻	🕩 Logout
Home Submis	ssions • Organisations •										Projec Proj	t applicant of ect applicant	organisation 1 t Administrator
PROJECT A	PPLICANT ORGANIS	ATION 1											
Organisation ty	pe:	Organisatio	n name:		Location:			General Conta	ct Email:		Actions:		
Project applic	ant	Project ap	plicant org	anisation 1	Hungary	/						P - Da	
Status:		Additional in	nformation								Ad	d new mem	ber
ACTIVE												Back	
										li			
Address line 1:					Address li	ne 2:							
Country:					City:				Postal code:				
Created by:		Created on:			Last updat	ted by:		Last updated o	on:				
user1		2021-02-1	18 13:26:54.	652	user1			2021-02-18	15:24:00.64				
MEMBER	LIST												
Member ID	Role	5	Status	Email		Name	Activated by	Activate	d on	Actions			
user1	Project applicant Administrator		ACTIVE	user1@mock.ec.europa	.eu	User1 USER1	User1 USER1	2021-02-	18 13:59:05.309	×			

3. Select the role, enter email address and click on 'Add new member'

European Commission ALURES			🍰 👻 🌐 EN 👻 🕪 Logout
Home Submissions - Organisations -			Project applicant organisation 1 Project applicant Administrator
ADD NEW MEMBER TO PROJECT APPL	ICANT ORGANISATION 1		
Select role:*	Email:*	First name:	Last name:
Project applicant ~	user22@mock.ec.europa.eu		
Add new member. Cancel			

For differences between 'Project applicant' and 'Project applicant Administrator' roles, see the chapter 'Information sharing between the members of the same organisation'.

Note: the email address in DECLARE must match user's EU login email address

17. Information sharing between the members of the same organisation

Every organisation can have as many members active in DECLARE as it wishes to.

Organisation type:	Organisatio	n name:		Location:			General Contact	t Email:	
Project applicant	Name of t	the applicant's o	organisation	Belgium					
Status:	Additional i	nformation:							
ACTIVE									
									4
Address Provide				A					
Address line 1:				Address line 2:					
Country:				City:				Postal code:	
Created by:	Created on:			Last updated by:			Last updated or	10	
user255	2020-10-0	08 10:44:41.573		user1			2020-10-08 1	0.56:49.74	
MEMBER LIST									
ember ID	Role	Status	Email		Name	Activated by	Activated o	'n	Actions
er23@mock.ec.europa.eu	Project applicant	ACTIVE	user23@mock.ec.e	uropa.eu		User1 USER1	2020-10-08	10:58:10.314	×
er21@mock.ec.europa.eu	Project applicant	ACTIVE	user21@mock.ec.e	uropa.eu		User1 USER1	2020-10-08	10:57:24.868	×
ser22@mock.ec.europa.eu	Project applicant	ACTIVE	user22@mock.ec.e	uropa.eu		User1 USER1	2020-10-08	10:57:47.223	×

Project applicant organisation

Members of the Project applicant organisation can have two different user roles:

- Project applicant
- Project applicant Administrator

Member of the Project applicant organisation with **'Project applicant' role can see and edit only the NTS projects that he/she created**. Other members of the same project applicant (PA) organisation with 'Project applicant' role will not have access to her/his NTS projects.

Member of the Project applicant organisation with 'Project applicant Administrator' role can see and edit all NTS projects in their Project applicant organisation, no matter who created them.

Only a member of the Project applicant organisation with **'Project applicant Administrator' role can add new members of his/her Project applicant organisation**.

Competent authority organisation (CAPE and CAPA)

Only the members of the competent authority assigned to evaluate or authorise particular NTS, will have access to those NTS projects.

Each member of that competent authority has access to all NTS assigned to this authority.

Member State organisation

Member State organisation members have read-only access to all except 'Draft' NTS projects in their country.

Please note that each organisation (Member State, Project applicant or Competent authority) is responsible for member's access rights. It is equally important to ensure that person(/s) who no longer should have access rights is/are removed promptly due to the fact that DECLARE/ALURES system contains non-public and limited access data.

Organisation access rights are managed under menu "Organisations", "Organisation List".

18. Overview of NTS project workflows

DECLARE ALURES NTS web application supports the following scenarios:

- 1. NTS is created and submitted by Project Applicant, approved by Competent Authority for Project Evaluation and published by Competent Authority for Project Authorisation
- 2. NTS is created by Competent Authority for Project Evaluation and then published by Competent Authority for Project Authorisation
- 3. NTS is created and published by Competent Authority for Project Authorisation

First scenario requires Project Applicant registration in DECLARE and can be realised only using an **NTS web form**.

Second and third scenarios can be realised either by using **NTS web form** or **NTS excel** upload.



19. Create an NTS using a web-form

Either project applicant (PA), project evaluation authority (CAPE) or project authorisation authority (CAPA) can create NTS web form. Resulting status and available actions will differ depending on the user's role. Below instruction illustrates a case when project applicant is creating NTS herself.

1. Click 'Submit data' menu item or home page icon

ि	European Commission		ogout
Home	Submissions - Organis	vations * Name of the applicant's organ Project a	isation pplicant
	Country submissions		_
ANN	Submit data		
	Templates		
	Test file quality		
		Submit data Country submissions	

2. Choose 'NTS form' as a form type, fill in at least mandatory fields and click 'Save'

European Commission ALURES		Name of the applicant's organisation Project applicant
CREATE NEW SUBMISSION		
You can upload your data using this page Form type.* INTS Form V Non-technical project summary	Organisation.* Name of the applicant's organisation - Belgiu *	Actions: Submit Cancel
Project Title.*	•	

In case of invalid or incomplete data, system shows the error messages and user can continue to edit the form. Otherwise, system shows the success message.

System automatically assigns NTS identifier and initial status. The status NTS depends on the creator's role:

- → If PA creates NTS: initial status is 'Draft'
- → If CAPE creates NTS: initial status is 'Under evaluation'
- → If CAPA creates NTS: initial status is 'Under authorisation'

20. Submit an NTS as a Project applicant to the Competent authority

1. Click submissions menu item or home page icon

0	European Commission	LURES			2 0 - (🕽 en 👻 🚱 Li	ogout
Home	Submissions - Organis	ations 🔻			Name of the a	pplicant's organ Project a	n isation pplicant
	Country submissions						
ANN	Submit data						
	Templates						
	Test file quality						
			Submit data	Country submissions			

2. Click on the row with draft NTS

LIST OF SUBMISSIONS

Location:	Submitted by:	NTS Identifier:		Project title:	
Status: All	Form type:	~			
Show 10 v entries Clear filters Excel				Sear	:h:
Location Submitte	d by	NTS Identifier	Project title	Status	Form type
be p/yt409g	Z4o9aOFNqkfXhmOTSK1iowOQzR6wPMPPIfAX7rgfN	IMA14F2kLczikZwE NTS-BE-813842	test	Draft	alures_nts_form

3. Click 'Submit to Competent Authority'

SUBMISSION DETAILS

4. Choose the competent authority for project evaluation

tifier:		
-388993	Please choose the competent authority for project evaluation	×
		0~
	Close	

System assigns the 'Under evaluation' status. Once the PA submits the NTS to a competent authority, NTS will be visible to the members of the chosen competent authority.

21. Create an NTS using an excel file

Either project evaluation authority (CAPE) or project authorisation authority (CAPA) can create NTS using an excel file. Resulting status and available actions will differ depending on the user's role.

1. Click 'Submit data' menu item or home page icon

0	European Commission	URES 20 - @ EN - @ Lagout
Home	Submissions 🔻 Organi	ns Competent Authority Belgium Competent Authority for Project Evaluation
	Country submissions	
ANN	Submit data	
	Templates	
	Test file quality	
		Submit data Country submissions

2. Choose the 'NTS excel' as a form type and click the 'Browse' button

European ALURES	🍰 ▾ 🕀 EN ▾ 🚱 Logout
Home Submissions • Organisations •	Competent Authority Belgium Competent Authority for Project Evaluation
CREATE NEW SUBMISSION	
You can upload your data using this page	Actions
Form type:" Organisation:" Main file (sts and stsp):"	Actions.
NTS Excel Competent Authority Belgium - Belgium - Add file Main file Browse	Submit
	Cancel

3. Chose the file to upload and click 'Submit' button

European Commission ALURES		🍰 ▼ – ⊕ EN ▼ – 🕪 Log	out
Home Submissions Organisations		Competent Authority Bel Competent Authority for Project Evalu	gium iation
CREATE NEW SUBMISSION			
You can upload your data using this page		Actions	
Form type:*	Organisation:* Main file (xls and xlsx) : *	Actions.	
NTS Excel	Competent Authority Belgium - Belgium * Add file NTS_ok_with	1_only_mandatc Browse	
	XISX	Cancel	

4. System will show the validation results and link to the validation report where you can see the detailed error(s) if any

European Commission ALURES				🍰 👻 🌐 EN 👻 🛛
Home Submissions • Organisations •				Competent Authorit Competent Authority for Project
UBMISSION DETAILS				
Details Attachment	story		? Help	Actions:
Organisation:*	NTS Identifier:	Submission status:		APPROVE
Competent Authority Belgium - Belgium *	NTS-BE-104506	UNDER_EVALUATION		UPDATE
Main file	08 Oct 2020 12:09 by User1 User1			DELETE
NTS_ok_with_only_mandatory_data.xlsx (Rev. 1)	(Competent Authority for Project Evaluation in Competent Authority Belgium)	Validation report (0 errors, 0 warnings)		Back
Upload new revision				

System automatically assigns NTS identifier and initial status. The status NTS depends on the creator's role:

- → If CAPE creates NTS: initial status is 'Under evaluation'
- → If CAPA creates NTS: initial status is 'Under authorisation'

22. Approve NTS as a Competent Authority for Project Evaluation

1. Click submissions menu item or home page icon



2. Click on the row with the NTS in the 'Under evaluation' status

European Commission ALURES						🛃 👻 🕀 EN 👻 🕒 Logout
Home Submissions Organisations					c	Competent Authority Belgium ompetent Authority for Project Evaluation
LIST OF SUBMISSIONS						
Location:	Submitted by:		NTS Identifier:		Project title:	
All	~					
Status:	Form type:					
UNDER_EVALUATION	~ All	~				
Show 10 ~ entries						Search:
Clear filters Excel						
Location Submitted by		NTS Identifier		Project title	Status	1↓ Form type
be Competent Authority Be	lgium	NTS-BE-104506		NTS ok withonly mandatory data	UNDER_EVALUA	TION ALURES_NTS_EXCEL
be Competent Authority Be	lgium	NTS-BE-122965		NTS ok with all data	UNDER_EVALUA	TION ALURES_NTS_EXCEL
3. Click 'Approv	'e'					≜o ▼ ⊕ EN ▼ 6+ Logout
Home Submissions • Organisations •					Ca	Competent Authority Belgium mpetent Authority for Project Evaluation
SUBMISSION DETAILS						
Details Attachment o Comments o Hist	lory				? Help A	actions:
Organisation:*	NTS Identifier:	Submission status:				APPROVE
Competent Authority Belgium - Belgium *	NTS-BE-104506	UNDER_EVALUATION				UPDATE
Main file	08 Oct 2020 12:09 by User1 User1	Validation report (0 arou	, Quaminas)			DELETE
NTS_OK_WRIT_ORBY_mandatofy_data.xisx (Nev. 1)	Competent Authority Belgium)	validation report (U.error	s, o warnings)			Back
Upload new revision						

In order to approve the NTS, the Excel file must not contain any errors. In case of errors, upload the corrected file by using the 'Upload new revision' button, or click 'Edit' button in case of using an NTS form.

4. Choose the competent authority for project authorisation

SUBMISSION DETAI	LS			
Details Attachment 0	lease choose the compete	ent authority for project authorisation	×	Actions:
Organisation:			•	Approve
Competent Authority Be				Reassign project
Main file	Close	Submit		Delete
NTS_ok_all_data-ft.xlsx (Rev. 1)	User1 (Competent Authority for Project Evaluation in Competent Authority Belgium)	Validation report (0 errors, 0 warnings)		Upload new revision Back
Non-technical project	summary			
NTS ok with all data				

Any competent authority in the same country with at least one member with CAPA role can be chosen for project authorisation.

Once the CAPE approves the NTS, the system assigns it the status 'Under authorisation'.

23. Publish an NTS as a Competent Authority for Project Authorisation

1. Click submissions menu item or home page icon

	European Commission	URES & C @ EN * @ Logout
Home	Submissions 👻 Organis	ns * Competent Authority Belgium Competent Authority for Project Authorisation
	Country submissions	
ANN	Submit data	
	Templates	
	Test file quality	
		Submit data Country submissions

2. Click on the row with the NTS in the 'Under authorisation' status

0	European Commissio	ALURES						2 0 -	🌐 EN 👻	🕞 Logout
Home	Submissions 👻	Organisations 👻						Co Competent Auth	mpetent Autho prity for Project	rity Belgium Authorisation
LIST	OF SUBMISS	SIONS								
Locati	on:		Submitted by:		NTS Identifier:		Project title:			
All		~								
Status			Form type:							
UNI	DER_AUTHORISATION	N ~	All	~						
Show	w 10 ~ entries							Search:		
fi	Excel									
Lo	cation	Submitted by		NTS Identifier		Project title	Status		Form type	
be		p/yt409gZ4o9aOFNqkfXhmOTSK1io	wOQzR6wPMPPIfAX7rgfNMA14F2kLczikZwE	NTS-BE-813842		test	UNDER_AU	THORISATION	alures_nts_form	n
be		Competent Authority Belgium		NTS-BE-794115		NTS ok with all data	UNDER_AU	ITHORISATION	ALURES_NTS_E	XCEL
be		Competent Authority Belgium		NTS-BE-999717		NTS ok with all data	UNDER_AU	ITHORISATION	ALURES_NTS_E	XCEL
be		Competent Authority Belgium		NTS-BE-704764		NTS ok withonly mandatory data	UNDER_AU	THORISATION	ALURES_NTS_E	XCEL
be		Competent Authority Belgium		NTS-BE-665261		NTS ok with all data	UNDER_AU	ITHORISATION	ALURES_NTS_E	XCEL

3. Click 'Publish'

European Commission ALURES				20 -	⊕ EN 👻
e Submissions 👻 Organisations 👻				Comp Competent Authorit	etent Au y for Proj
BMISSION DETAILS					
Details Attachment Comments His	tory		? Help	Actions:	
Organisation:*	NTS Identifier:	Submission status:		Reques	t modifica
Competent Authority Belgium - Belgium *	NTS-BE-794115	UNDER_AUTHORISATION			UBLISH
fain file	02 Oct 2020 14:30 by User1 User1				UPDATE
VTS_ok_all_data.xlsx (Rev. 1)	(Competent Authority for Project Authorisation in	Validation report (<mark>0 errors</mark> , 0 warnings)			DELETE
	competent Autionty beiglum)				Back
Upload new revision					

In order to publish the NTS, the excel file must not contain any error. In case of errors, upload corrected file by using the 'Upload new revision' button or request modification from the CAPE.

Once the CAPA approves the NTS, the system assigns it the status 'Published'.

Published NTS cannot be modified anymore without creating and publishing an amendment (new version of the NTS).

24.Add comments

Any user who has access to particular NTS project can add comment which will be visible to other participants involved in the NTS project processing.

Comments can be useful to provide reasons, justifications or directions. For example, in a case of requesting modification from another participant or reassigning a project to another competent authority.

1.	Click	'Add	comments'	in the	'Comments'	tab of the	e NTS	project	submission	details

European Commission ALURES			
Home Submissions Organisations			C
SUBMISSION DETAILS			
Details Attachment o Comments o History			? Help
Add comment			
Current version: 5.31.202102171336 (b45325f)Version date: 2021-02-17 13:40:15			
2. Fill in the comment and click 'Save'			
European Commission ALURES	<u>2</u> 0 -	🕀 EN 👻	🕒 Logout
Home Submissions + Organisations +	Competent Aut	nority for Proj	CA France ect Evaluation
ADD NEW COMMENT			
Comments Visible to all submission viewers	Actions		
■ 1 目 時 第 第 第 第 第 第 第 第 第 第 1 0 0 0 0 0 0 0 0 0	Actions.	~	
Please double check the numbers in expected harms which are guite high. Reasons for the planned fate of the animats are not clearly stated.		Cancel	

25. Request modification of the NTS

As long as the NTS is in either 'Under evaluation' or 'Under authorisation' status, CAPE or CAPA can request modification from the previous process participant.

- ➔ For the NTS submission in the status 'Under authorisation', CAPA can request modification from CAPE.
- → For the NTS submission in the status 'Under evaluation', CAPE can request modification from the Project Applicant. This option is available only for NTS web form, and it makes sense only if Project Applicant is registered in DECLARE, and is the 'owner' of the NTS. Ownership of the NTS is visible in the 'Organisation' field:

Commission ALURES						
tome Submissions * Organisa	itions 👻					
UBMISSION DETAILS						
Details Attachment • Comr	ments o Histo	ny				
Organisation:*		NTS Identifier:	Submission status:			
Competent Authority Belgium - Be	laium *	NTS-BE-104506	UNDER_EVALUATION			
Main file		08 Oct 2020 12:09 by User1 User1				
NTS_ok_with_only_mandatory_data.	xlsx (Rev. 1)	(Competent Authority for Project Evaluation in Competent Authority Belgium)	Validation report (0 errors, 0 warnings)			

To request modification:

1. Add Comment or/and Attachment which explains what has to be checked or changed:

European Commission ALURES	🍰 ▾ 🜐 EN ▾ 🕩 Logout
Home Submissions • Organisations •	Competent Authority Belgium Competent Authority for Project Evaluation
Comment created	
SUBMISSION DETAILS	
Details Attachment a Comments 1 History ?Help	Actions:
08 Oct 2020 12:51 Edit Delete	Request modification
Please check the number of rats in severe category	APPROVE
- User1 User1 - Competent Authonity for Project Evaluation, in Competent Authority Belgium Visible to all submission viewers	DELETE Back
Add comment	

2. Click the 'Request modification'

European Commission ALURES		🛃 🔻 🌐 EN 👻 🚱 Logout
Home Submissions • Organisations •		Competent Authority Belgium Competent Authority for Project Evaluation
Comment created		
SUBMISSION DETAILS		
Details Attachment o Comments 1 History	? Help	Actions:
08 Oct 2020 12:51	Edit Delete	Request modification
Please check the number of rats in severe category — User1 User1 - Competent Authority for Project Evoluation, In Competent Authority Belgium Visible to all submission viewers		APPROVE DELETE Back
Add comment		

The system will automatically change the status of the NTS:

- 'Under evaluation' -> 'Draft'
- 'Under authorisation' -> 'Under evaluation'

26. Reassign NTS to another competent authority

Competent authority members can reassign NTS to another competent authority in the same country.

Reassignment does not change the status of the NTS.

CAPE member of the currently assigned competent authority can reassign NTS in the state 'Under evaluation' to another competent authority. NTS in the state 'Under evaluation' can be reassigned to any other competent authority in the country with at least one member who has 'CAPE' role.

1. Click 'Reassign project'

Home Submissions - Organisations -									Cor	Competent Authority Belgium mpetent Authority for Project Evaluation
SUBMISSION DETAILS										
Details Attachment Comments	Histo	ory						? Help	[Actions:
Organisation:		NTS Identifier:		NTS Version:		Submission status:				Approve
Competent Authority Belgium - Belgium		NTS-BE-979006		1		Under evaluation				Reassign project
Non-technical project summary			Please choose the co	mpetent authority for pr	oject evaluation to	whom you want >				Edit
Project Title*			to reassign							Delete
test Li FR						0-	1			Back
Countra*			L				J		L	
Belaium			Close	Submit				~		

2. Choose a new competent authority for project evaluation

CAPA member of the currently assigned competent authority can reassign NTS in the state 'Under authorisation' to another competent authority. NTS in the state 'Under authorisation' can be reassigned to any other competent authority in the country with at least one member who has 'CAPA' role.

1. Click 'Reassign project'

SUBMISSION DET	AILS	
Details Attachment 0	Comments 0 History ?Help	Actions:
Organisation: Competent Authority Be	Please choose the competent authority for project authorisation to whom you want to reassign	X Request modification
Main file		Reassign project
NTS_ok_all_data-ft.xlsx (R		Publish
1)	Close	Delete
	Belgium)	Upload new revision
Non-technical proje	ct summary	Back
Project Title:*		

2. Choose a new competent authority for project authorisation

27. Amend NTS - create a new version of already published NTS

In case the NTS is created using an NTS form, a new version can be created with data pre-filled from the latest published NTS version of the project.

In case the NTS is created using an excel upload, an excel file with amended data has to be uploaded. Please note that excel **field 'NTS identifier' must be filled-in with correct NTS identifier**.

- a) Amend NTS created with NTS form
 - 1. On the submissions list, click the row with the latest published version of the NTS to be amended

Europe	an ALURES					🛓 👻 🌐 EN 👻 🚱 Logour
Home Submissions	Organisations T				Con	Competent Authority Belgiu npetent Authority for Project Authorisati
LIST OF SUBM	ISSIONS					
Location:		Submitted by:		NTS identifier:	NTS version:	
All	~			NTS-BE-284842		
Project title:		Status:		Form type:		
		Published	~	All	~	
Show 10 v ent	ries					Search:
Clear Excel						
Location	Submitted by	NTS identifier	NTS version	Project title	Status	Form type
be	Competent Authority Belgium	NTS-BE-284842	1	afdaf	Published	Non-technical project summary Form
be	Competent Authority Belgium	NTS-BE-284842	2	afdaf2	Published	Non-technical project summary Form
be	Competent Authority Belgium	NTS-BE-284842	3	afdaf2 darko new version	Published	Non-technical project summary Form
Showing 1 to 3 of 3	entries (filtered from 46 total entries)					Previous 1 Next

2. Click 'Amend (new version)

SUBMISSION DETAILS				
Details Attachment Comments	History			? Help Actions:
Organisation:	NTS Identifier:	NTS Version:	Submission status:	
Competent Authority Belgium - Belgium	NTS-BE-284842	3	Published	Back
Non-technical project summary				

3. Amend the data on the pre-filled form and click 'Submit'

CREATE NEW SUBMISSION				
You are linking a new submission with an existing of	ne with EC ID: {0}			Actions
Form type:*	Organisation:*	NTS Identifier:	NTS Version:	Actions.
Non-technical project summary Form	Competent Authority Belgium	NTS-BE-284842	0	Submit
				Cancel
Non-technical project summary				
Project Title:*				
title corrected				
Country:*				
Belgium				~
Language:*				
en				~
ELL Submission*				

4. A new version of the NTS is created with the same NTS identifier and incremented version number

Home	Submissions Organisations				¢	Competent Authority Be Competent Authority for Project Author
Subm	ission uploaded					
SUBMI	SSION DETAILS					
Details	Attachment o Comments o His	tory			? Help	Actions:
Organisa	ation:	NTS Identifier:	NTS Version:	Submission status:		Request modification
Compe	tent Authority Belgium - Belgium	NTS-BE-284842	4	Under authorisation		Reassign project
Non-te	echnical project summary					Publish
Proje	rt Title*					Edit
titla	corrected					Delete
uue	Conected					Back

The chain of different versions is shown at the bottom of the NTS form.

EPLACEN	IENT	CHAIN FOR SUBMISSION
		74500
Published	→	/ 1500 Under authorisation

b) Amend NTS created with NTS excel upload

1. On the submissions list, click the row with the latest published version of the NTS to be amended

European Commission	ALURES					🍰 👻 🌐 EN 👻 🚱 Logout
Home Submissions -	Organisations 👻					TEST CA Susann Competent Authority for Project Authorisatio
LIST OF SUBMISS	IONS					
Location:		Submitted by:		NTS identifier:	NTS version:	
All	~			NTS-CY-163981		
Project title:		Status:		Form type:		
		All	~	All	÷	
Show 10 ventries Clear filters Excel						Search:
Location	Submitted by	NTS identifier	NTS version	11 Project title	Status	Form type
cy	TEST CA Susanna	NTS-CY-163981	1	NTS ok withonly mandatory data	Published	Non-technical project summary Excel
cy	TEST CA Susanna	NTS-CY-163981	2	NTS ok withonly mandatory data	Published	Non-technical project summary Excel
Showing 1 to 2 of 2 entrie	is (filtered from 25 total entries)					Previous 1 Next

2. Click 'Amend (new version)'

Home Submissions • Organisations •					TEST CA Susanna Competent Authority for Project Authorisation
SUBMISSION DETAILS					
Details Attachment Comments History				? Help	Actions:
Organisation:	NTS Identifier:	NTS Version:	Submission status:		Amend (new version)
TEST CA Susanna - Cyprus	NTS-CY-163981	2	Published		Back
Main file NTS ok with only mandatory data CY - Copy.xlsx (Rev.	23 Dec 2020 12:47 by Darko MARIJANCIC (Competent Authority for Proiect Authorisation in TEST	Validation report (0 errors. 0 warnings)			

3. Upload an excel file with amended data and click 'Submit'

European Commission ALURES				🍰 👻 🌐 EN 👻 😂 Logout
Home Submissions • Organisations •				TEST CA Susanna Competent Authority for Project Authorisation
CREATE NEW SUBMISSION				
You are linking a new submission with an existing one wi	ith EC ID: (0)			A ==1 = = = =
Form type:*	Organisation.*	NTS Identifier:	NTS Version:	Actions:
Non-technical project summary Excel	TEST CA Susanna	NTS-CY-163981	0	Submit
Main file (visx and xis): *				Cancel
Add file Main file Browse				
		Current version: 5.30.202101220856 (2a90349)Version di Top I Contact I European Commission I Environment I	tte: 2021-01-22 08:59:28 Declare I Privacy statement	

4. A new version of the NTS is created with the same NTS identifier and incremented version number

European Commission ALURES					20 -	⊕ EN ▼ TES	G Logout
SUBMISSION DETAILS					Competent Authori	ty for Project	Authorisation
Details Attachment o Comments o History			1	P Help	Actions:		
Organisation:	NTS Identifier:	NTS Version:	Submission status:		Request	modification	
TEST CA Susanna - Cyprus	NTS-CY-163981	3	Under authorisation		Reassi	an project	
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NTS_ok_with_only_mandatory_data_CY - Copy.alsx (Rev.	(Competent Authority for Project Authorisation in TEST	Validation report (0 errors, 0 warnings)				elete	
2)	CA Susanna)				Upload		
Non-technical project summary							
Project Title:*							
NTS ok withonly mandatory data 2							

28. Retrospective Assessment (RA) overview

The following scenarios are supported:

- 1. RA is created and published for the NTS project which does not exist in DECLARE application
- 2. RA is created and published for the NTS project which is published in DECLARE application

RA can be created an published only by a user who has '**Competent Authority for Retrospective Assessment**' (CARA) role in DECLARE and can be realised by using an **RA web form**, **RA excel upload** or **RA web service**.

Member State Administrator is responsible for adding a user(s) with CARA role to one or more Competent Authority organisations in his/her country.

29. Create an RA for the NTS project which does not exist in DECLARE

To create a Retrospective Assessment for the NTS project which does not exist in DECLARE, field 'NTS identifier' must be filled-in with 0000 and the value in the field 'NTS national identifier' must be provided.

1. Make sure you are logged-in with 'CARA' role

TESTING ENVIRONMENT			
	≜ ☆ ▼	🏶 EN 🔻	🕩 Logout
	Com Competent Authority fo	petent Auth r Retrospecti	ority Belgium ve Assessment

2. Click 'Submit data' on the 'Submissions' menu



3. Choose either 'Retrospective assessment Excel' or 'Retrospective assessment Form' form type and select your organisation

CREATE NEW SUBMISSION

You can upload your data using this page					
Form type: *	Organisation:*				
Please choose ~	Competent Authority Belc 🗸 🗸				
Please choose					
Retrospective assessment Form					
Retrospective assessment Excel					

4. Fill-in the form or upload excel file and click 'Save'

CREATE NEW SUBMISSION								
You can upload your data using this page								
Form type:*	Organisation:*	NTS Version:	Main file (xlsx and xls) : *	Actions:				
Retrospective assessment 💙	Competent Authority Belgi 👻	0	Add file RA_BE_v Browse	Save				
			x	Cancel				

If there are no errors, RA will be saved in 'Draft' state and green message 'Submission uploaded' will be shown on the screen.

Home Submissions - Orga	inisations 🔻		Competer	Co nt Authority	mpetent Authority Belgium for Retrospective Assessmen
Submission uploaded					
Details Attachment 0 C	omments o History			? Help	Actions:
Organisation: Competent Authority Belgiu	RA Identifier: RA-BE-622819	RA Version:	Submission status:		Delete
Main file RA_BE_valid_1.xlsx (Rev. 1)	13 Jul 2021 16:56 by User1 User1 (Competent Authority for Retrospective Assessment in Competent Authority Belgium)	Validation report (0 errors, 0 warnings)			Preview project Upload new revision Back

As long as the Retrospective Assessment is in a 'Draft' state, new revision of excel file can be uploaded or, in a case of a web form, RA form can be edited.

30. Create an RA for the NTS project which is published in DECLARE

To create a Retrospective Assessment for a project which is published in DECLARE, you can use RA web form, Excel file upload or a Webservice.

Retrospective Assessment can be created **only for the latest published version of the NTS project**.

A) RA excel upload for the NTS project which is published in DECLARE

- 1) Make sure that 'NTS identifier' field in the RA excel file is filled-in with correct NTS project identifier
- 2) Click 'Submit data' on the 'Submissions' menu



3) Choose 'Retrospective assessment Excel' form type and select your organisation

CREATE NEW SUBMISSION

You can upload your data us	ing th	is page		
Form type: *		Organisation:*		
Please choose 🗸 🗸		Competent Authority Belgi 🝷		
Please choose				
Retrospective assessment	Form			
Retrospective assessment	Excel	Current version: 5.44.202107131045 (092		
	Ton	Contact European Commission Environm		

4) Upload excel file and click 'Save'

Home Submissions Organisations Competent Authority Belgiu Competent Authority for Retrospective Assessme CREATE NEW SUBMISSION You can upload your data using this page Actions: Form type:* Organisation:* NTS Version: Main file (klsx and xk):*	European Commission	ALURES		-	🗴 🕈 🌐 EN 👻 🕞 Logout
CREATE NEW SUBMISSION You can upload your data using this page Form type:* Organisation:* NTS Version: Main file (xlsx and xls):*	Home Submissions - Orga	nisations 🔻		Competent Autho	Competent Authority Belgium rity for Retrospective Assessment
You can upload your data using this page Form type:* Organisation:* NTS Version: Main file (xtsx and xts):* Actions:	CREATE NEW SUBMIS	SION			
Form type:* Organisation:* NTS Version: Main file (xlsx and xls):*	You can upload your data using th	is page			
	Form type:*	Organisation:*	NTS Version:	Main file (xlsx and xls) : *	Actions:
Retrospective assessment 👻 Competent Authority Belgi * 0 Add file RA_BE_v Browse Save	Retrospective assessment ~	Competent Authority Belgi	0	Add file RA_BE_v Browse	Save
X Cancel				X	Cancel

B) RA web form for the NTS project which is published in DECLARE

1) Click 'NTS submissions' on the 'Submissions' menu



2) Fill-in search criteria (for example, enter the NTS project identifier you are looking for) and click 'Search'

LIST OF SUBMISSIONS

Location Code:		Submitted by:		Identifier:		Version:
All	~			NTS-BE-999989		
Title of the project:		Status:		Form type:		Assigned for ev
		All	~	All	~	All
Assigned for authorisation:		Purpose(s) of the project:		Species:		Keyword:
All	~	All	~	All	~	
Year of publication:		Language:		EU Submission:		
All	~	All	~	All	~	
Clear		South				

3) Click on the row with the NTS project

Cle	ear	Search						
Show 10 N	✓ entries							
Location Code	Submitted by	11	Identifier	Version 1	Title of the project		Status 斗	Status change date
Belgium	FTEST_ALURES_ORG_PA	_SUB_NTS_AMEND_1	NTS-BE- 999989	L_1	FTEST_PROJECT_TITLE_NTS_PA_A	MEND_1	Published	13-07- 2021
								_

4) On the NTS project details screen, click the 'Create Retrospective Assessment' button

tome Submissions - Orga	anisations 🔻		Compe	Competent Authority Belgi tent Authority for Retrospective Assessm
UBMISSION DETAILS	S			
Details Attachment o C	Comments o History			? Help Actions:
Organisation:	NTS Identifier:	NTS Version:	Submission status:	Create
FTEST_ALURES_ORG_PA_SUI	NTS-BE-999989	1	Published	Retrospective Asssessment
ASSIGNED COMPETENT AUTH	HORITIES			Preview project
Assigned for evaluation:	FTEST_ALURES_ORG_CAPE_SUB_NT	S_FORM_PA_AMEND_1		Back
Assigned for authorisation:	FTEST_ALURES_ORG_CAPA_SUB_N1	S_FORM_PA_AMEND_1		

5) RA form is partially pre-filled with data from the NTS project. Complete remaining mandatory fields and click 'Save'.

CREATE NEW SUBMISSION

You are linking a new submission	Actions:		
Form type:*	Organisation:*		Actions.
NTS RA Form	Competent Authority Belgium		Save Cancel
RESULT OF RETROSPECT	IVE ASSESSMENT		
Title:*			
FTEST_PROJECT_TITLE_NTS_	PA_AMEND_1		
as per Non-technical Project Summa	ary		

Note that species from NTS project expected harms are copied into Retrospective Assessment actual harms, while numbers per severity categories are not copied. Numbers are all set to 0 and must be manually entered.

In a case that calculated total of actually used animals across all severity categories is still 0 after the RA is saved, non-blocking warning message is shown on the screen.

ome Submissions 🕶 Orga	nisations 🔻		Competent .	Competent Authority Bel Authority for Retrospective Assess
Submission uploaded				
BMISSION DETAILS	;			
etails Attachment o C	omments o History			? Help Actions:
Organisation:	RA Identifier:	RA Version:	Submission status:	Edit
Competent Authority Belgiu	RA-BE-020556	1	Draft	Delete
				Publish
LIGHT OF REINOSPECT				Preview project
Calculated total of actually Calculated total of actually	v used Rats across all severity v used Rabbits across all seve	y categories is 0. Please make su erity categories is 0. Please make	re this is correct. sure this is correct.	Back
Title:*				

As long as the Retrospective Assessment is in a 'Draft' state, new revision of excel file can be uploaded or, in a case of a web form, RA form can be edited.

31. Publish Retrospective Assessment

You can publish an RA with 'Competent Authority for Retrospective Assessment' role.

1. Choose 'NTS submissions' from 'Submissions' menu

ि	European Commission AL	URES 🛃 🗸 🌐 EN 🔻 🖨 Logout
Home	Submissions - Organisatio	ns Competent Authority Belgium Competent Authority for Retrospective Assessment
	NTS submissions	
Subn	Submit data	
SUBM	Templates	
Details	Submissions dashboard Attacnment 0 Comme	nts ø History

2. Enter the search criteria (for example, fill-in RA identifier in the field 'Identifier') and click search

Home Submissions -	Organisatio	ns 🔻			Com	Competent Authority Belgiu petent Authority for Retrospective Assessme
LIST OF SUBMISS	IONS					
Location Code:		Submitted by:		Identifier:		Version:
All	~			RA-BE-020556		
Title of the project:		Status:		Form type:		Assigned for evaluation:
		All	~	All	~	All ~
Assigned for authorisation:		Purpose(s) of the project:		Species:		Keyword:
All	~	All	~	All	~	
Year of publication:		Language:		EU Submission:		
All	~	All	~	All	~	
Clear		Search				

3. Click on the row with the RA you want to publish

Show 10	 entries 							
Location Code	Submitted by	Identifier 11	Version 11	Title of the project	Status	Status change date	Form type 💷	Assigned for evaluation
Belgium	Competent Authority Belgium	RA-BE- 020556	1	FTEST_PROJECT_TITLE_NTS_PA_AMEND_1	Draft		Retrospective assessment Form	

4. Click 'Publish' button on the RA details screen

European Commission Home Submissions + Orga	ALURES		Compe	Content Authority	EN Construction Environment
Details Attachment 0 Co	omments o History			? Help	Actions:
Organisation:	RA Identifier:	RA Version:	Submission status:		Edit
Competent Authority Belgiu	RA-BE-020556	1	Draft		Delete
					Publish
RESULT OF RETROSPECTI	IVE ASSESSIVIEINI				Preview project
Calculated total of actually	vused Rats across all severity	categories is 0. Please make su	re this is correct.		Back

5. Confirm publishing of the RA

Home Submissions - C	Organisations 👻	Con ompetent Authority fo	npetent Authority Belgium or Retrospective Assessmen
SUBMISSION DETA	Warning	×	
Details Attachment o	Are you sure you want to publish this item?		Actions:
Organisation: Competent Authority Belg	Go back Proceed		Edit Delete
RESULT OF RETROSPE	CTIVE ASSESSMENT		Publish
			Preview project

Note that, once the Retrospective Assessment is published, it will be publically available and you will not be able to 'un-publish' it. Make sure the RA data are correct and complete before you publish it.

32. Amend RA - create a new version of already published RA

In case of the correction of the RA that is already published, a new version of the RA can be created with data pre-filled from the latest published RA version.

In case the RA is created using an excel upload, an excel file with amended data has to be uploaded. Please note that excel **field 'RA identifier' must be filled-in with correct RA identifier**.

- a) Amend an RA created with the RA form
 - 1. On the submissions list, click the row with the latest published version of the RA to be amended

LIST OF SUBMISSIONS			
Location Code:	Submitted by:	Identifier:	Version:
All			
Title of the project:	Status:	Form type:	Assigned for evaluation:
	All ~	Retrospective assessment Form \sim	All 🗸
Assigned for authorisation:	Purpose(s) of the project:	Species:	Keyword:
All	All	All ~	
Year of publication:	Language:	EU Submission:	
All	All ~	All ~	
Clear	Search		
Show 10 ~ entries			
Location Code	nitted by	11 Ider	ntifier 💷 Version 💷
Belgium Comp	petent Authority Belgium	RA-	BE-466306 4
🕒 Belgium Comp	petent Authority Belgium	RA-	BE-466306 3

2. Click 'Amend (new version)

European Commission	LURES			💄o 👻 🌐 EN	I 👻 🕒 Logout
Home Submissions - Organis	ations 🔻			Competent Competent Authority for Retros	Authority Belgium pective Assessment
Submission status changed succe	essfully				
SUBMISSION DETAILS					
Details Attachment o Com	ments o History			? Help Actions:	
Organisation:	RA Identifier:	RA Version:	Submission status:	Amend	(new version)
Competent Authority Belgium -	RA-BE-466306	4	Published	Prev	iew project
REPLACEMENT CHAIN					Back
RA-BE-466306 - 1 →	RA-BE-466306 - 2 → RA- Published Pub	BE-466306 - 3 → RA-Bi lished Publis	E-466306 - 4 shed		

3. Amend the data on the pre-filled form and click 'Save'

REATE NEW SUBMISSION					
ou are linking a new submission with	Actional				
Form type:*	Organisation.*	RA Identifier:	RA Version:	Actions:	
NTS RA Form	Competent Authority Belgium	RA-BE-466306	5	Save	
Title:*	ad uk				
as per Non-technical Project Summary					
Maximum length is 500 characters.					
Country:*					

4. A new version of the RA is created with the same RA identifier and incremented version number

Home	Submissions 👻	Organi	sations 👻							Com	npetent Autho	Competent Authority Belgium prity for Retrospective Assessmen
Subn	nission uploaded											
Details	Attachment o	TAILS Cor	nments o Histo	ory							? Help	Actions:
Organis Comp	sation: betent Authority Be	elgium -	RA Identifier: RA-BE-46630	0 <mark>6</mark>		RA Versio	on:		Submissie Draft	on status:		Edit Delete
REPLAC RA-E Publ	CEMENT CHAIN BE-466306 - 1 lished	÷	RA-BE-466306 - 2 Published	÷	RA-BE-46 Published	6306 - 3	÷	RA-BE-466306 - 4 Published	÷	RA-BE-466306 - Draft	5	Publish Preview project Back
DECIN		DECTIV		r								L

The chain of the different versions of the same RA is shown on the RA form details in the 'Replacement Chain' panel.

- b) Amend an RA created with an RA excel upload
 - 1. On the submissions list, click the row with the latest published version of the RA to be amended

Location Code:	Submitted by:	Identifier:	Version:			
All						
Title of the project:	Status:	Form type:	Assigned for evaluation:			
	All	Retrospective assessment Excel	All			
Assigned for authorisation:	Purpose(s) of the project:	Species:	Keyword:			
All	All	All ~				
Year of publication:	Language:	EU Submission:				
All	All	All				
Clear	Search					
Show 10 v entries						
Belgium Competent Authority Belgiu	m RA-BE- 3 RA 561908 ref	valid 2 - with Published erence to existing NTS sject	Retrospective assessment Excel			

2. Click 'Amend (new version)'

European Commission ALUI	RES			Logout Competent Authority Belgium
				Competent Authority for Retrospective Assessment
Details Attachment Comments	o History			?Help Actions:
Organisation:	RA Identifier:	RA Version:	Submission status:	
Competent Authority Belgium - Belgi	RA-BE-561908	3	Published	Preview project
Main file RA_BE_valid_3.xlsx (Rev. 1)	09 Jun 2021 15:14 by User1 User1 (Competent Authority for Retrospective Assessment in Competent Authority Belgium)	Validation report (0 errors, 0 warnings)		Back
REPLACEMENT CHAIN RA-BE-561908 - 1 Published Publish	561908 - 2 → RA-BE-561908 - 3 ed Published			

3. Upload an excel file with amended data and click 'Save'

European Commission ALU	RES			🍰 👻 🌐 EN 👻 🕞 Logout
Home Submissions - Organisations	•		Competent A	Competent Authority Belgium uthority for Retrospective Assessment
CREATE NEW SUBMISSION				
You are linking a new submission with an ex-	sisting one with EC ID: {0}			A -ti
Form type:*	Organisation:*	NTS Version:	Main file (xts and xtsx) : *	Actions:
NTS RA Excel	Competent Authority Belgium	4	Add file RA_BE_valid_1.xlsx Browse	Save
				Cancel
	Current version: 5.4 Top Contact European Com	44.202107132026 (22f1a16)Version date: 20. mission Environment & Climate Action	21-07-13 20:29:14 Declare Privacy statement	

A new version of the RA is created in a 'Draft' state with the same RA identifier and incremented version number.

Note that the excel field 'RA identifier' must be filled-in with the same RA identifier as in the previous RA version in order to successfully create a new RA version.

33.Preview and print

At any stage in the process, any user who has access to the NTS project or Retrospective Assessment can preview NTS project or Retrospective Assessment and save it as PDF or print it.

NTS preview shows all fields. Fields, which will not be visible on the public NTS site, contain 'Field will not be published.' remark.

To preview and print NTS project:

1. On the project details page, click 'Preview project'

European Commission					♣ ▼
Home Submissions Organ					Project applicant Administrator
Details Attachment Co	mments • History			? Help	Actions:
Organisation: PA Belgium - Belgium	NTS Identifier: NTS-BE-093448	NTS Version:	Submission status: Draft		Submit to Competent Authority
NON-TECHNICAL PROJEC	T SUMMARY				Edit Delete
Title of the project:* adfs					Preview project Back
Country:* Belgium				~	

2. Click 'Print' to open an internet browser dialog with options to save a document as a PDF or send it directly to your printer. Options might slightly differ depending on the internet browser you are using.

NON-TECHNICAL PROJECT SUMMARY	(=)Print
Title of the project	adfs
NTS Identifier	NTS-BE-093448
NTS version	1

34. Email notifications

The DECLARE/ALURES system sends automatic email notifications upon important business events.

Event	Recipients
New user added as a member of the organisation	New user; Members of the organisation
User removed as a member of the organisation	Removed user; Members of the organisation
New Project Applicant organisation is self-registered	PA admins; Members of the Competent
(initial status > PENDING)	Authority organisation at the same location as
	a Project Applicant
Organisation is activated (status change > ACTIVE)	Members of the organisation
Organisation is inactivated (status change > INACTIVE)	Members of the organisation
NTS status change 'Draft' \rightarrow 'Under evaluation'	User who created NTS; User who initiated the
(action Submit to CA)	action; CAPE members of assigned CA
	organisation
NTS status change 'Under evaluation' $ ightarrow$ 'Under	User who created NTS; User who initiated the
authorisation' (action Approve)	action; CAPA members of assigned CA
	organisation
NTS status change 'Under evaluation' $ ightarrow$ 'Draft'	User who created NTS; User who initiated the
(action Request Modification from PA)	action
NTS status change 'Under authorisation' $ ightarrow$	User who created NTS; User who initiated the
'Published' (action Publish)	action
NTS status change 'Under authorisation' $ ightarrow$ 'Under	User who created NTS; User who initiated the
evaluation' (action Request Modification from CAPE)	action; CAPE members of assigned CA
	organisation
NTS in status 'Under evaluation' reassigned to	User who created NTS; User who initiated the
another CA (action Reassign to CAPE)	action; CAPE members of newly assigned CA
	organisation
NTS in status 'Under authorisation' reassigned to	User who created NTS; User who initiated the
another CA (action Reassign to CAPA)	action; CAPA members of newly assigned CA
	organisation

Important note

EC cannot guarantee automatically generated e-mail notifications delivery and **MS should not rely** exclusively on e-mail notifications, because some elements are out of our control: automatic e-mail may be blocked by receiver anti-virus/security rules or go to spam folder; e-mail may not reach recipient because of internet issue; server may have a temporary issue on e-mail sending or recipient mailbox's may be full.

35. Useful links and contact info

- DECLARE/ALURES formal submission site: <u>https://webgate.ec.europa.eu/declare/</u>
- DECLARE/ALURES playground for training and testing purposes: https://webgate.acceptance.ec.europa.eu/declare/

- Document concerning DECLARE/ALURES are also stored in a restricted CIRCABC for National Contact Points under Directive 2010/63/EU at <u>https://circabc.europa.eu</u>
 - Select: Directive 2010/63/EU National Contact Points
 - See: Library > DECLARE_ALURES
- Functional mailbox for any technical questions and/or problems: ENV-DECLARE-ALURES@ec.europa.eu